

# **COMPARATIVE DEMOCRATIZATION (POLSC 799)**

Kansas State University  
Spring 2015  
Location: TBA  
Office Hours: Monday 10-Noon

## **Sabri Ciftci**

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### **I. Description**

This graduate seminar will introduce major scholarly debates about democratization. It covers the classical works and contemporary research in the field. The readings survey a vast array of themes about social and economic determinants of democracy, transition and consolidation, historical foundations of democracy, contentious politics and democratization, cultural roots of democracy, democracy and redistribution, and subnational democratization. During the first two weeks, the conceptual and methodological foundations of democratization research will be discussed. The remaining 12 weeks are organized around the questions related to the above themes. The main goal of the course is to introduce students to some of the most influential works in comparative democratization. By the completion of this course, the students are expected to identify major lines of inquiry within this research program. In addition, students should be able to identify the theoretical and methodological differences among the scholarly works about comparative democratization. The course will help students to develop critical perspectives about democratization and allow them to articulate their own research projects.

### **II. Requirements**

The reading load for this course is extensive. Students are expected to complete the readings in a timely manner and come to class well prepared to carry a good discussion. Grading is based on review papers, participation, and discussion leadership. The grading components are as follows:

- 4 Review Papers (60%)
- Discussion Leadership (15%)
- Participation (25%)

Student will write four **review papers** (each 15%). Review papers should not only summarize the readings but also compare them. They should engage in the theoretical and methodological aspects of the readings and provide a critical overview. Students should come up with some questions at the end of the review papers pointing to the weak areas in the assigned readings. The questions should also introduce new research ideas. Each paper should be about 2000-2500 words, double-spaced, and typed with 10-12 font size. The review papers are due by noon on the day of class meeting.

Students will assume a **discussion leadership** role for multiple sessions. A discussion leader will facilitate the discussion by providing a brief overview and identifying theoretical/methodological weakness or strengths of the readings. The group, however, will collectively carry the rest of the discussion. **Student participation** is essential for a scholarly environment in the class. Each student should actively contribute to the class discussion during all sessions. All students should be professional, respectful, and courteous during the class discussion.

### **III. Grading and Make-Up Policy**

Attendance is required. Students are expected to actively and substantially participate in class discussions and do not miss a session. Each absence without proper documentation will result in 1% point deduction from the final grade. Late submissions are not allowed. The following grade scale is applicable in this class: A: 100-90, B: 89-80, C: 79-70, D: 69-60, F: 59-0. Grades are rounded to the nearest integer.

### **IV. Academic Honesty & Accommodations for Students with Disabilities**

Kansas State University has an Honor & Integrity System based on personal integrity which is presumed to be sufficient assurance in academic matters one's work is performed honestly and without unauthorized assistance. Undergraduate and graduate students, by registration, acknowledge the jurisdiction of the Honor & Integrity System. The policies and procedures of the Honor System apply to all full and part-time students enrolled in undergraduate and graduate courses on-campus, off-campus, and via distance learning. A component vital to the Honor & Integrity System is the inclusion of the Honor Pledge which applies to all assignments, examinations, or other course work undertaken by students. The Honor Pledge is implied, whether or not it is stated: "On my honor, as a student, I have neither given nor received unauthorized aid on this academic work."

The default in this class is that ALL work will be accomplished individually, UNLESS my permission is given in advance of an assignment/quiz/exam/take-home exam/final. If you are in doubt, please ask. A grade of XF can result from a breach of academic honesty. The F indicates failure in the course; the X indicates the reason is an Honor Pledge violation. For more information, visit the Honor & Integrity System home web page at: <http://www.k-state.edu/honor/>

Any student with a disability that needs a classroom accommodation, access to technology or other assistance in this course should contact Disability Support Services and/or their instructor.

### **V. Reading Requirements**

There are **no required texts**, but we will read multiple chapters from the following books. You may purchase them or make copies of the relevant chapters.

*Coppedge, Michael. Democratization and Research methods. Cambridge University Press, 2012*

*Moore, Barrington. Social origins of dictatorship and democracy: Lord and peasant in the making of the modern world. Beacon Press, 1993.*

*Guillermo O'Donnell and Phillippe Schmitter, Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies (Johns Hopkins UP, 1986)*

*Juan J. Linz and Alfred Stepan, Problems of Democratic Transition and Consolidation (Johns Hopkins UP, 1996).*

*Rueschemeyer, Dietrich, Evelyne Huber Stephens, and John D. Stephens. "Capitalist development and democracy." Cambridge, UK (1992).*

*Carles Boix, Democracy and Redistribution. Cambridge University Press, 2003.*

*Daron Acemoglu and James A. Robinson, Economic Origins of Dictatorship and Democracy. Cambridge University Press, 2005.*

*Charles Tilly, Coercion, Capital, and European States: AD 990-1990 (Blackwell Publishers, 1992),*

*Modernization, Cultural Change, and Democracy: The Human Development Sequence. By Ronald Inglehart and Christian Welzel. Cambridge University Press, 2005.*

*Putnam, Robert D., Robert Leonardi, and Raffaella Y. Nanetti. Making democracy work: Civic traditions in modern Italy. Princeton university press, 1994.*

*Dunning, Thad. Crude democracy: Natural resource wealth and political regimes. Cambridge: Cambridge University Press, 2008.*

*Tilly, Charles. Contention and democracy in Europe, 1650-2000. Cambridge University Press, 2004.*

## **VI. Schedule**

### **What is democracy and how should we study it?**

#### Week 1: January 26

Dankwart Rustow, "Transitions to Democracy," *Comparative Politics* 2:3 (April 1970): 337-63.

Dahl, Robert Alan. *Polyarchy: participation and opposition.* Yale University Press, 1971, Ch. 1,2,10.

Schmitter and Terry Lynn Karl, "What Democracy is...And is Not," *Journal of Democracy* 2, 1991.

Collier, David, and Steven Levitsky. "Democracy with adjectives: Conceptual innovation in comparative research." *World politics* 49.03 (1997): 430-451.

O'Donnell, Guillermo A. "Delegative democracy." *Journal of democracy* 5.1 (1994): 55-69.

#### Week 2: February 2

Coppedge, Michael. *Democratization and Research methods.* Cambridge University Press, 2012. Ch. 1,2,4,10.

Gerardo L. Munck and Jay Verkuilen, *Conceptualizing and Measuring Democracy: Evaluating Alternative Indices,* *Comparative Political Studies* February 2002 35: 5-34,

Staffan I. Lindberg, Michael Coppedge, John Gerring, Jan Teorell, *V-Dem: A New Way to Measure Democracy,* *Journal of Democracy,* 2014, 159-169.

Giovanni Capoccia and Daniel Ziblatt, *The Historical Turn in Democratization Studies: A New Research Agenda for Europe and Beyond,* *Comparative Political Studies,* August/September 2010; vol. 43, 8-9: pp. 931-968.

## **Paths and Transitions to Democracy**

### Week 3: February 9

Moore, Barrington. Social origins of dictatorship and democracy: Lord and peasant in the making of the modern world. Beacon Press, 1993. Ch. 1-3, 7-8

### Week 4: February 16

Guillermo O'Donnell and Phillippe Schmitter, Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies (Johns Hopkins UP, 1986), pp. 1-56.

Juan J. Linz and Alfred Stepan, Problems of Democratic Transition and Consolidation (Johns Hopkins UP, 1996), chapters 1-4.

Huntington, Samuel P. "Democracy's third wave." Journal of Democracy 2.2 (1991): 12-34.

Carothers, Thomas. "The end of the transition paradigm." Journal of democracy 13.1 (2002): 5-21.

Larry Diamond, Francis Fukuyama, Donald L. Horowitz, Marc F. Plattner, Larry Diamond, Francis Fukuyama, Donald L. Horowitz, and Marc F. Plattner, Reconsidering the "Transition Paradigm" Journal of Democracy, 2014, 86-100.

## **Economic Development and Democracy**

### Week 5: February 23

Daniel Lerner, The Passing of Traditional Society (Free Press, 1958), pp. 19-42.

Seymour Martin Lipset, "Some Social Requisites of Democracy: Economic Development and Political Legitimacy," American Political Science Review 53 (March 1959): 69-105.

Rueschemeyer, Dietrich, Evelyne Huber Stephens, and John D. Stephens. "Capitalist development and democracy." Cambridge, UK (1992), Ch.1-3, 7

Adam Przeworski and Fernando Limongi, "Modernization: Theories and Facts," World Politics 49:2 (January 1997): 155-83;

Carles Boix, and Susan C. Stokes. "Endogenous democratization." World politics 55.04 (2003): 517-549.

Carles Boix, Democracy, Development, and the International System," American Political Science Review 105.4 (November 2011), pp. 809-828.

## **RECOMMENDED**

Collier, Ruth Berins. Paths toward democracy: The working class and elites in Western Europe and South America. Cambridge University Press, 1999.

Samuel P. Huntington, *Political Order in Changing Societies*. Yale University Press, 1968

Fernando Henrique Cardoso and Faletto Enzo, *Dependency and Development in Latin America*. University of California Press, 1979.

Haggard, Stephan and Robert R. Kaufman. 1997. The Political Economy of Democratic Transitions. *Comparative Politics*, Vol. 29(3): 263-283.

## **Democracy and Distribution**

### Week 6: March 2

Carles Boix, *Democracy and Redistribution*. Cambridge University Press, 2003, Introduction and Chapter 1.

Daron Acemoglu and James A. Robinson, *Economic Origins of Dictatorship and Democracy*. Cambridge University Press, 2005., chapters 1-4, 6, 8.

### Week 7: March 9

Houle, Christian. "Inequality and democracy: Why inequality harms consolidation but does not affect democratization." *World Politics* 61.04 (2009): 589-622.

Ben Ansell and David Samuels, "Inequality and Democratization: A Contractarian Approach," *Comparative Political Studies* 43.12 (December 2010), pp. 1543-1574

Freeman, John R., and Dennis P. Quinn. "The economic origins of democracy reconsidered." *American Political Science Review* 106.01 (2012): 58-80.

Ahlquist, John S., and Erik Wibbels. "Riding the Wave: World Trade and Factor-Based Models of Democratization." *American Journal of Political Science* 56.2 (2012): 447-464.

Haggard, Stephan, and Robert R. Kaufman. "Inequality and regime change: Democratic transitions and the stability of democratic rule." *American Political Science Review* 106.03 (2012): 495-516.

March 16-March 22 Student Holiday

## **Historical Foundations of State and Democracy**

### Week 8: March 23

Tilly, Charles, (1985). War making and state making as organized crime (pp. 169-191). In Tilly, C., Evans, P. B., Rueschemeyer, D., & Skocpol, T. Cambridge University Press. et al. in War making and state making as organized crime

Karen Barkey, *Bandits and Bureaucrats: The Ottoman Route to State Centralization* (Cornell University Press, 1994), ch. 6.

Charles Tilly, *Coercion, Capital, and European States: AD 990-1990* (Blackwell Publishers, 1992),chs. 1-4.

Daron Acemoglu, Simon Johnson, and James Robinson, "Reversal of Fortune: Geography and Institutions in the Making of the Modern World Income Distribution," *Quarterly Journal of Economics* 117.4 (November 2002), pp. 1231-1294.

North, Douglass C., John Joseph Wallis, and Barry R. Weingast. A conceptual framework for interpreting recorded human history. No. w12795. National Bureau of Economic Research, 2006.

### *Recommended*

Douglass North, John Joseph Wallace and Barry R. Weingast, *Violence and Social Orders: A Conceptual Framework for Interpreting Recorded Human History* (Cambridge University Press, 2009)

Hendrik Spruyt, *The Sovereign State and its Competitors* (Princeton University Press, 1994), Introduction, chs. 2-3, 5-8

### Week 9: March 30

Mancur Olson, Dictatorship, Democracy, and Development," *American Political Science Review* 87.3 (September 1993), pp. 567-576.

Daniel Ziblatt, How did Europe Democratize?" *World Politics* 58.2 (January 2006), pp. 311-338.

Barry Weingast, The Political Foundations of Democracy and the Rule of Law," *American Political Science Review* 91.2 (June 1997), pp. 345-263.

Lisa Blaydes and Eric Chaney, The Feudal Revolution and Europe's Rise: Political Divergence of the Christian West and the Muslim World before 1500 CE," *American Political Science Review* 107.1 (January 2013), pp. 16-34.

Jacob Gerner Hariri, The Autocratic Legacy of Early Statehood," *American Political Science Review* 106.3 (November 2012), pp. 471-494.

David Stasavage, When Distance Mattered: Geographic Scale and the Development of European Representative Assemblies," *American Political Science Review* 104.4 (November 2010), pp. 625-643.

Robert Woodberry, The Missionary Roots of Liberal Democracy," *American Political Science Review* 106.2 (May 2012), pp. 244-274.

#### *Recommended*

Chaney E. Democratic Change in the Arab World, Past and Present. *Brookings Papers on Economic Activity*. 2012;42(1):363-414.

### **Culture and Democracy**

#### Week 10: April 6

*Modernization, Cultural Change, and Democracy: The Human Development Sequence*. By Ronald Inglehart and Christian Welzel. Cambridge University Press, 2005, ch. tba

### **Social Capital and Democratization**

#### Week 11: April 13

Putnam, Robert D., Robert Leonardi, and Raffaella Y. Nanetti. Making democracy work: Civic traditions in modern Italy. Princeton university press, 1994, Chapter TBA.

Berman, Sheri. "Civil society and the collapse of the Weimar Republic." *World politics* 49.03 (1997): 401-429.

Putnam, Robert D. "Bowling alone: America's declining social capital." *Journal of Democracy* 6 (1995): 68.

## **Oil and Democracy**

Week 12: April 20

Dunning, Thad. *Crude democracy: Natural resource wealth and political regimes*. Cambridge: Cambridge University Press, 2008., ch, 1,2,5,8

Michael L. Ross, "Does Oil Hinder Democracy?" *World Politics* 53 (April 2001): 325–361; Michael L. Ross, Jay Ulfelder, "Natural Resource Wealth and the Survival of Autocracy," *Comparative Political Studies* 40 (August 2007): 995–1018;

Stephen Haber and Victor Menaldo, "Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse," *American Political Science Review* 105 (February 2011): 1–26

Mehmet Gürses, "Elites, Oil, and Democratization: A Survival Analysis," *Social Science Quarterly* 92 (March 2011): 164–184.

## **Contention and Democracy**

Week 13: April 27

Tilly, Charles. *Contention and democracy in Europe, 1650-2000*. Cambridge University Press, 2004, ch. 1-3, 7-8.

Wood, Elisabeth Jean. "An Insurgent Path to Democracy Popular Mobilization, Economic Interests, and Regime Transition in South Africa and El Salvador." *Comparative Political Studies* 34.8 (2001): 862-888.

Walter, Barbara F. "Designing transitions from civil war: Demobilization, democratization, and commitments to peace." *International Security* 24.1 (1999): 127-155.

### Recommended

Charles Tilly, *Democracy*. 2007. Cambridge University Press

Tezcür, Güneş Murat. "When democratization radicalizes: The Kurdish nationalist movement in Turkey." *Journal of Peace Research* 47.6 (2010): 775-789.

## **Subnational Comparative Research and Democratization**

Week 14: May 4

Snyder, Richard. "Scaling down: The subnational comparative method." *Studies in comparative international development* 36.1 (2001): 93-110.

Gibson, Edward L. "Boundary control: Subnational authoritarianism in democratic countries." *World Politics* 58.01 (2005): 101-132.

Gervasoni, Carlos. "A rentier theory of subnational regimes: Fiscal federalism, democracy, and authoritarianism in the Argentine provinces." *World Politics* 62.02 (2010): 302-340.

Benton, Allyson Lucinda. "Bottom-Up Challenges to National Democracy: Mexico's (Legal) Subnational Authoritarian Enclaves." *Comparative Politics* 44.3 (2012): 253-271.

Lankina, Tomila V., and Lullit Getachew. "A geographic incremental theory of democratization: territory, aid, and democracy in postcommunist regions." *World Politics* 58.04 (2006): 536-582.

Mickey, Robert W. "The Beginning of the End for Authoritarian Rule in America: Smith v. Allwright and the Abolition of the White Primary in the Deep South, 1944–1948." *Studies in American political development* 22.02 (2008): 143-182.

*Recommended*

Robert Mickey, 2015. *Paths out of Dixie: The Democratization of Authoritarian Enclaves in America's Deep South, 1944-1972*, Princeton University Press